

Nikko AM Wins Four Categories in Asia Asset Management's 2020 Best of the Best Awards

Japan: Best Retail House, Fund Launch of the Year and ETF Manager of the Year
Singapore: ETF Manager of the Year

Nikko Asset Management (Nikko AM) has won four categories at the [Asia Asset Management](#) 2020 Best of the Best Country Awards - selected in Japan for Fund Launch of the Year, Best Retail House, and ETF Manager of the Year. The company also won ETF Manager of the Year in Singapore. The recognitions cover the period ending November 2019, and mark the seventh consecutive year Nikko AM was awarded by Asia Asset Management, The Journal of Investments and Pensions, regarded as one of the most influential publications about the asset management industry in Asia.

On the way to the first award, Nikko AM expanded its retail business. As of the end of October 2019, Japan retail AUM climbed 14.8% year on year to reach JPY163.1 billion, the firm's highest ever quarterly retail AUM. Growth was driven by our robust Japan Retail Business Division in Tokyo, which provides uniquely global products in collaboration with our offices in 11 countries¹. The award period also marked the first year of a new fund that is a hit with the Japan retail market. A new category of leveraged, balanced fund, it combines diversification (equities, bonds and REIT) and futures for triple the amount of capital. The Japanese investment industry considers the fund revolutionary for its creativity and performance, as its total AUM (annual settlement type and bi-monthly dividend type) surpassed JPY600 billion (as of 27 January).

For Fund Launch of the Year, Nikko AM was chosen for the 28 June launch of a new fund sub-advised by Nikko Asset Management Americas Inc. based on research by New York based ARK Investment Management LLC. The fund invests in equities of global companies involved in technological innovation, and achieved an initial launch amount of JPY113.5 billion – the largest of any fund in Japan in the last six years (as of 28 June, 2019). Its AUM has continued to grow rapidly, more than doubling in six months.

Nikko AM continued to be a leader in the ETF space in Japan, not only launching new ETFs but using its global capabilities to forge a new ETF connectivity scheme between Japan and China, offering Japanese investors access to China A shares through our partner E Fund Management. Other innovative global measures included collaborative events with our overseas offices, going above and beyond to provide online documentation in English, and promoting ETFs through webinars and SNS, both revolutionary activities for the traditionally conservative Japan market.

Nikko AM's ETF performance in broader Asia shone as well, with our Singapore ETFs' AUM reaching SGD1.915 billion as of October 2019 – an increase of nearly 30 per cent from December 2018. The firm also

¹ Including overseas subsidiaries, affiliates and minority joint ventures.

launched a new ETF in Hong Kong – expanding our footprint and underscoring our commitment to the development of ETFs in broader Asia.

Nikko AM President and Co-CEO Hideo Abe remarked, “We are deeply honoured to be recognised by Asia Asset Management for these achievements. As a global asset management company with Asian DNA, this exemplifies the success of our innovative products for clients both in Japan, greater Asia and around the world.”

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About Nikko Asset Management

With US\$230.9 billion* under management, Nikko Asset Management is one of Asia's largest asset managers, providing high-conviction, active fund management across a range of Equity, Fixed Income, Multi-Asset and Alternative strategies. In addition, its complementary range of passive strategies covers more than 20 indices and includes some of Asia's largest exchange-traded funds (ETFs).

Headquartered in Asia since 1959, the firm represents nearly 200** investment professionals and over 30 nationalities across 11 countries. More than 300 banks, brokers, financial advisors and life insurance companies around the world distribute the company's products.

The investment teams benefit from a unique global perspective complemented by the firm's historic Asian DNA, striving to deliver consistent excellence in performance. The firm also prides itself on its progressive solution-driven approach, which has led to many innovative funds launched for its clients.

For more information about Nikko Asset Management and to access its investment insights, please visit the firm's [homepage](#).

* Consolidated assets under management and sub-advisory of Nikko Asset Management and its subsidiaries as of 30 September 2019.

** As of 30 September 2019, including employees of Nikko Asset Management and its subsidiaries.

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